

Glossary (GLO)

*In this Appendix, underlining indicates new text and strikethrough indicates deleted text, unless otherwise indicated.

| Defined Terms | Definitions |
|---|---|
| <u>Accepted Crypto Asset</u> | <u>Has the meaning given in section 258 of FSMR.</u> |
| Client Investments | Has the meaning given in COBS 14.3.1. <u>Means all Investments held or controlled on behalf of Client in the course of, or in connection with, the carrying on of the Investment Business.</u> |
| Controller | In relation to: (a) GEN, MIR, <u>PRU</u> and FEES, means in relation to an Authorised Person or Recognised Body, a Person who, either alongg or with any Associate fulfils the criteria specified in GEN 8.8.2; and (b) MKT, means a Person who meets the criteria specified in MKT 3.6.2 or MKT 7.3.2(2). |
| <u>Crypto Asset</u> | <u>Has the meaning given in section 258 of FSMR.</u> |
| <u>Crypto Asset Exchange</u> | <u>Has the meaning given in section 258 of FSMR.</u> |
| <u>Crypto Asset Custodian</u> | <u>Has the meaning given in section 258 of FSMR.</u> |
| Derivative or Derivative Contract | Means Specified Investments falling within paragraphs 94 to 96 of Schedule 1 of FSMR or, so far as relevant to such investments, any investment falling within paragraphs 98 or 99 of that Schedule. <u>Has the meaning given in section 258 of FSMR.</u> |
| <u>E-money</u> | <u>Has the meaning given in section 258 of FSMR.</u> |
| <u>Fiat Currency</u> | <u>Has the meaning given in section 258 of FSMR.</u> |
| Investment | Means in relation to: (a) COBS, means Investment Research, any asset right or interest; and either of the following: (i) <u>a Security; or</u> (ii) <u>a Derivative,</u> <u>unless otherwise specified</u> (b) GEN and elsewhere, <u>means unless otherwise sSpecified, Investments set out</u> means the investments listed in Part 3 of Schedule 1 of FSMR, <u>unless otherwise specified.</u> |
| <u>Operating a Crypto Asset Business</u> | <u>Has the meaning given in paragraph 73B of Schedule 1 of FSMR.</u> |
| Security | Has the meaning given in the <u>section 258 of FSMR.</u> |